

F.1/127(18)/2016-R.IV
UNION PUBLIC SERVICE COMMISSION
Recruitment-IV SECTION

Name of the post	Two posts of Assistant Director (Capital Market) in Serious Fraud Investigation Office, Department of Corporate Affairs, Ministry of Corporate Affairs.	
Advertisement No.	14/2016	
Vacancy No.	16081406413	
Criteria adopted for short-listing	GENERAL (Unreserved)	EQ A + DQ
	OBC	-
	SC	-
	ST	-
	PH	-
Modalities adopted*	<p>i) PS has been done on the basis of the report generated by the computer system as per the information filled up by the candidates in their online application and the Supporting documents uploaded along with the same.</p> <p>ii) DQ invoked.</p> <p>iii) Total experience claimed by the candidate has been taken into consideration, if supported by necessary supporting documents/ Experience certificates..</p> <p>iv) Experience claimed has been considered relevant if the applicant has Minimum 2 years experience in Capital Market, in / with the following areas:</p> <ol style="list-style-type: none"> a. Capital Market Regulators in India. b. Legal & Regulatory Framework-Laws relating to Capital and Securities Market. c. Capital, Debt and Money Market in India-Infrastructure Institutions-Structure and Institutional Mechanism. d. Capital and Securities Market Reforms and Regulatory Measures. e. Capital Market Instruments like - <ol style="list-style-type: none"> i. Shares, Debentures, Treasury Bills, Commercial Bills, Commercial Paper, Mutual Funds GDRs/ADRs/IDRs, Derivatives, Collective Investment Scheme (CIS) etc. f. Functioning of Rating Agency. g. Securities Market Intermediaries-Primary and Secondary-Experience of Role and functions. h. Role of Merchant Bankers, Stock Brokers, Registrars, Underwriters, Bankers to an Issue, Portfolio Managers, Debenture Trustees, Foreign Institutional Investors, Depositories, Depositories Participants, Credit Rating Agencies etc. i. Accounting for financial instruments. 	

	<ul style="list-style-type: none"> j. Stock exchanges and listed companies. k. Statutory and internal audit of corporate l. Working with SEBI, stock brokers, credit rating agencies, stock exchanges, large audit firms, banks(handling appraisal and processing of corporate loans) v) However, experience in teaching, general banking, tax audit, secretariat work, accounts, audit of proprietary and partnership firms, has not been considered relevant experience. vi) Candidates whose experience is not in the relevant field as per the Advertisement and RRs are rejected under LDQ, vii) Experience certificates are to be in prescribed proforma in terms of R(C&P)'s circular No. F.8/84/2010-R (C&P) dated 23.12.2010 However, wherever the applicants have not submitted experience certificates in prescribe proforma, their experience certificate have been considered on merit. viii) Appointment letters, office orders, resignation letters, pay certificates, service certificates and the certificates attested by the candidates themselves or self employment certificates have not been considered as proof of experience. Such candidates have also been considered as lacking relevant experience but rejected under 'Incomplete' category. ix) Experience Certificates without clearly specifying the nature of duties have not been considered and rejected as 'Incomplete'. Similarly, applicants who have claimed relevant experience but the EC attached in support of that is not for the entire duration claimed or do not support the claim made have been rejected. Only the time period of relevant experience for which EC has been submitted have been considered. However, in cases where EC in respect of the present/last employment is not complete have been shortlisted subject to submission of full and up-to-date EC in support of the experience claimed. x) Some of the candidates can be rejected under more than one category. They have been rejected under the category considered as most appropriate. 						
Roll Nos. of short-listed candidates	25 50 51 96 103 145 151 214						
	<p style="text-align: center;"><u>LEQ - 'A'</u></p> <table border="1" style="width: 100%;"> <tr> <td data-bbox="427 1794 708 1872">251</td> <td data-bbox="708 1794 1406 1872">Submitted MBA Degree does not indicate that he has MBA(Finance)</td> </tr> <tr> <td colspan="2" data-bbox="427 1872 1406 1921" style="text-align: center;"><u>LDQ</u></td> </tr> <tr> <td data-bbox="427 1921 708 1993">3, 27, 32, 46, 57, 75, 79, 86, 90, 99,</td> <td data-bbox="708 1921 1406 1993">Experience not relevant</td> </tr> </table>	251	Submitted MBA Degree does not indicate that he has MBA(Finance)	<u>LDQ</u>		3, 27, 32, 46, 57, 75, 79, 86, 90, 99,	Experience not relevant
251	Submitted MBA Degree does not indicate that he has MBA(Finance)						
<u>LDQ</u>							
3, 27, 32, 46, 57, 75, 79, 86, 90, 99,	Experience not relevant						

101, 107, 117, 120, 122,125, 128, 138, 140, 147, 148, 158, 164, 204, 208, 212, 226, 227, 238, 242, 246, 259, 263, 264, 265, 266, 281, 285, 294, 300, 301, 307, 309	
<u>Incomplete</u>	
13	Document in support of his claim of possessing MBA(Finance) not submitted.
59	EC only for 10 months submitted.
229	Certificate in respect of Company Secretary not submitted. EC submitted is also less than 2 years.
249	MBA Degree not submitted. Marksheet submitted reflexed that he has done MBA(Business Administration and not MBA(Finance). As the applicant has submitted only a self-certified document in support of his experience, it has not been considered as EC.
194, 200, 216, 241/242, 248, 260, 317	Nature of duties not mentioned
39, 56, 88, 124, 136, 142, 171, 205, 224, 239, 247, 280, 295, 304, 310, 311	Experience certificate not attached.
<u>Over-age</u>	
Roll No. 11	

***Note:- Modalities are decided by the Commission on case to case.**

Legends:

LEO -A: LACKING ESSENTIAL QUALIFICATION-A

LDQ: LACKING DESIRABLE QUALIFICATION

BCA: BETTER CANDIDATES AVAILABLE

N.B.: The candidates are requested to bring originals of all the supporting documents when they come to the Commission for interview which would be verified on the date of interview and they would be allowed to attend the interview only if all facts indicated in the their application are true as per their original documents.

Deputy Secretary (R-IV)