

F.1/179(35)/2022-R.IV
Union Public Service Commission
Recruitment IV Section


Name of the post	One (01-OBC) vacancy of the post of Assistant Director (Capital Market) in Serious Fraud Investigation Office (SFIO) Ministry of Corporate Affairs.	
Advertisement No.	04/2023	
Vacancy No.	23020401425	
Criteria adopted for shortlisting	Unreserved	-
	EWS	-
	OBC	EQ-(A) + DQ
	SC	-
	ST	-
	PwBDs	-
Roll Nos. of shortlisted candidates	Roll Nos.	87 & 130. (02 Candidates)
Provisionally shortlisted subject to production of deficit documents	Roll Nos.	60*. (01 Candidate)

* In case, the candidate fails to submit the requisite documents before the prescribed date, his/her application will be rejected under "Incomplete" category.

: Rejections :

Roll Nos. of candidates not shortlisted	Rejection Category	Roll Nos.
	LEQ-A	10, 54, 105 & 113. (04 Candidates)
	LDQ	42, 57, 62, 81, 93 & 115. (06 Candidates)
	:Incomplete:	
	No EC	06*, 11, 13, 16, 71, 89, 108 & 123. (08 Candidates)
	No NoD in EC	53, 63 & 124. (03 Candidates)
	EC documents not acceptable	79. (01 Candidate)
	EC not matching claim	09. (01 Candidate)
	Not in the zone of consideration	Remaining 110 applications do not fall under zone of consideration

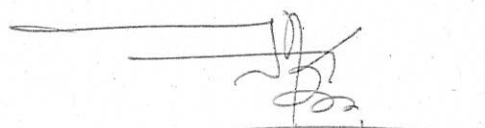
*Candidature of Roll no. 06 is also rejected under (LDQ).


 30/04/2024

Important Instructions:

Candidates who desire to represent against his/her rejection in accordance with criteria and modalities adopted for shortlisting candidates to be called for interview for this post, may submit the same with substantive ground(s)/reason(s) to **sort4.upsc@nic.in** by **11.05.2024**. Mails received after this date would not be opened. All the representations would be examined and if in any case the grounds/reasons indicated therein are found to be correct as per criteria and modalities adopted, such applications would be shortlisted and rejection of others would be maintained. However, the same would be examined only with reference to age, category, qualification, experience and desirable experience claimed in the Online Recruitment Application. Thereafter, revised and updated scrutiny details would be uploaded in this space, if any change is made therein. No individual reply would be given to any of the e-mails.

Modalities adopted	<p>i) PS has been done online using Post Processing Software (PPS) First, on the basis of the information filled up/ claims made therein by the candidates in their online application and thereafter by scrutiny of the supporting documents uploaded along with the same only in respect of candidates whose applications are prima-facie found eligible on the basis of information filled up/claims made by them in the online applications.</p> <p>ii) Experience claimed in Capital Market has been considered relevant if the applicant has minimum 2 years experience in /with the following:</p> <ul style="list-style-type: none">a) Capital Market Regulators in India.b) Legal & Regulatory Framework- Laws relating to Capital and Securities Market.c) Capital, Debt and Money Market in India-Infrastructure Institutions- Structure and Institutional Mechanism.d) Capital and Securities Market Reforms and Regulatory Measures.e) Capital Market Instruments like - Shares, Debentures, Treasury Bills, Commercial Bills. Commercial Paper, Mutual Funds GDRs/ADRs/IDRS, Derivatives, Collective Investment Scheme (CIS) etc.f) Functioning of Rating Agency.g) Securities Market Intermediaries-Primary and Secondary- Experience of Role and functions.h) Role of Merchant Bankers, Stock Brokers, Registrars, Underwriters, Bankers to an Issue, Portfolio Managers, Debenture Trustees, Foreign Institutional Investors, Depositories, Depositories Participants, Credit Rating Agencies, etc.i) Accounting for financial instruments.j) Stock Exchanges and listed companies.k) Statutory and internal audit of corporate.l) Working with SEBI, stock brokers, credit rating agencies, stock exchanges, large audit firms, banks (handling appraisal and processing of corporate loans). <p>iii) Total experience of the candidates as furnished in the online applications have been taken into consideration. Only the experience claimed in the Online Recruitment Application (ORA) have been considered as experience for scrutiny and certificates attached without its reference in the Experience column of the ORA have not been consideration.</p> <p>(iv) Candidates whose experience is not in the relevant field as per RRs are rejected under LDQ.</p>
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30/04/2024

V) Experience certificates are to be in prescribed proforma in terms of R(C&P)'s circular No F.8/84/2010-R(C&P) dated 23.12.2010. However, wherever the applicants have not submitted experience certificates in prescribe proforma, their experience certificate have been considered on merit.

VI) Appointment letters, office orders, resignation letters, pay certificates, service certificates and the certificates attested by the candidates themselves or self employment certificates have not been considered as proof of experience. Such candidates have also been considered as lacking relevant experience but rejected under '**Incomplete**' category

VII) Experience Certificates without clearly specifying the nature of duties have not been considered and rejected as 'Incomplete'. Similarly, applicants who have claimed relevant experience but the EC attached in support of that is not for the entire duration claimed or do not support the claim made have been rejected. Only the time period of relevant experience, for which EC indicating the requisite/relevant experience have been considered. However, in cases where EC in respect of the present/last employment is not complete have been shortlisted subject to submission of full and up-to-date EC in support of the experience claimed

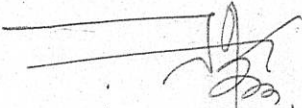
(viii) Some of the candidates can be rejected under more than one category. They have been rejected under the category considered as most appropriate.

Note: Modalities are decided by the Commission on case to case basis.

The list of candidates, who applied for the post, alongwith their Roll Numbers, has been uploaded on Commission's website at the path: Home>>Recruitment>>Status of Recruitment Cases (Advertisement wise)>>Applicants' Lists.

Legends:

- LEQ-A Lacking Essential Qualification-A (Educational)**
- LDQ- Lacking Desirable Qualification.**
- EC - Experience Certificate**
- NoD- Nature of Duties**


30/04/2024
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